



Associate Member Application Form

The benefits of being a SWAN Associate include:

- Associates have the opportunity to learn about a wide variety of young social-impact start-up companies.
- Associates get to meet and build relationships with impact startups, SWAN impact investors, and Associates.
- Associates get to learn about early-stage companies and early-stage investing.

Associate activities include:

- Helping evaluate and select companies for quarterly pitch events
- Attending and participating in quarterly pitch events
- Supporting due diligence during the funding evaluation process
- Optionally coaching companies in your area of expertise
- Completing the annual commitment survey

The Requirements:

1. **Participation:** There is no cost to become an Associate. Rather, your contribution to the network is providing the following services in kind:
 - a. Assist with the down-selection process and due diligence/deal memo activity for at least one quarterly funding cycle per year, and
 - b. Attend at least one quarterly meeting per year, and
 - c. Support ongoing SWAN activities as needed, such as through event support.
2. **Renewals:** In December of every year, you will be asked if you would like to remain an Associate and if you are able to allocate time in the coming year to SWAN activities as described in #1.
3. **Conflict of Interests:** If I have any sort of financial connection with a company that has applied for funding, I agree to recuse myself from all discussions regarding that company during down-selection, dinner pitch events, and due diligence. A mentoring role that does not have the potential for personal financial benefit does not require a recusal. A pre-existing relationship that does have the potential for personal financial benefit following successful funding by SWAN does require notifying SWAN and recusal.
4. **Not acting as an investor:** I understand that private companies are not allowed to offer investment opportunities to the general public. I agree that my role as an Associate is limited to advising the network's investors and that the private companies I learn about as an Associate are not offering me an investment opportunity.



5. **Confidential information:** The companies that apply are providing sensitive information about their companies without requiring a non-disclosure agreement. That said, I will respect the fact that the company is sharing sensitive information, and agree to the following:
- a. While working with the SWAN Impact Network on down-selection or a deal memo, I will share what I have learned as part of this effort only with individuals working on one of these projects.
 - b. I will not retain any written material shared by the company
 - c. After completing the down selection or deal memo work, I will not knowingly use residual knowledge that I retain in a way that is detrimental to the company
6. **Non-solicitation:** I understand and recognize that the SWAN Impact Network meetings and communications are focused on investment pursuits, and I agree to not solicit for business with a party that I come in contact with through the network. Those parties include Angels, potential angels, portfolio companies, company applicants and Associates. I may respond to unsolicited inquiries for information on my business and may thereafter engage in a professional relationship that may develop.

Your Information

Name: _____

Email: _____

Phone: _____

LinkedIn profile: _____

Address: _____

Application

I understand the Background Information and Requirements, will complete the Survey, and apply to be an Associate member.

Signature: _____

Date: _____